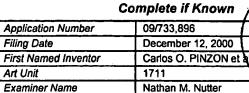
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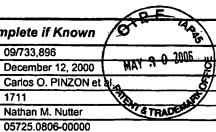
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Examiner Initials	Cite No.	Document Number	Issue or Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
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		•		Application Number	09/733,896	MAY 3	-1110
INFORMATION DISCLOSURE			Filing Date	December 12, 2000	10	8	
STATEMENT BY APPLICANT		First Named Inventor	Carlos O. PINZON et a	al. Vo	35		
STATEMENT BY APPLICANT			Art Unit	1711	TETRA		
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Sheet	2	of	12	Attorney Docket Number	05725.0806-00000		

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				Application Number	09/733,896	1	[9]
INFORMATION DISCLOSURE				Filing Date	December 12, 20	06/3	.8/
1	STATEMENT BY APPLICANT			First Named Inventor	Carlos O. PINZO	V el	- ASTE
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Sheet	3	of	12	Attorney Docket Number	05725.0806-0000	0 .	

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Application Number	09/733,896	1 v
Filing Date	December 12, 2000	u
First Named Inventor	Carlos O. PINZON et	al 3
Art Unit	1711	1
Examiner Name	Nathan M. Nutter	
Attorney Docket Number	05725.0806-00000	

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/NN/	94	DE 197 26 184 A1	12/24/1998			Abstract
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31,	ALEMENT DI	AFFLIGA	714.1	Art Unit	1711	
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Sheet	5	of	12	Attorney Docket Number	05725.0806-00000	

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Initials No. (book, i		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation					
/NN/	124	Bangham, A.D. et al. Diffusion of Univalent lons across the Lamellae of Swollen Phospholipids, Journal of Molecular Biology, pp. 238-252, Vol. 13, August to October 1965						
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l .	ATEMENT BY			First Named Inventor Carlos O. PINZON et al. 4 TRADE					
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Sheet	6	of	12	Attorney Docket Number	05725.0806-0000	0			

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Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation
/NN/	128	Co-Pending Application No. 10/494,864; Attorney Docket No. 06028.0047-00 Title: COMPOSITION CONTAINING AN AMINO ACID N-ACYLATED ESTER AND A POLYAMIDE-STRUCTURED UV FILTER U.S. Filing Date: November 23, 2004	
	129	Co-Pending Application No. 10/699,780, Attorney Docket No. 05725.0895-02 Title: METHODS OF DISPERSING AT LEAST ONE COLORING AGENT USING AT LEAST ONE HETEROPOLYMER U.S. Filing Date: November 4, 2003	-
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	135	English language abstract of JP 78/043577 from Patent Abstracts of Japan	
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		NON PATENT LITERATURE DOCUMENTS	
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	182	Office Action in co-pending Application No. 09/733,897 (Attorney Docket No. 05725.0809) dated August 29, 2002 (Ex. Berman)	
	183	Office Action in co-pending Application No. 09/733,897 (Attorney Docket No. 05725.0809) dated May 6, 2004 (Ex. Yu)	
	184	Office Action in co-pending Application No. 09/733,898 (Attorney Docket No. 05725.0808) dated April 25, 2005 (Ex. Yoon)	
	185	Office Action in co-pending Application No. 09/733,898 (Attorney Docket No. 05725.0808) dated April 29, 2003 (Ex. Yoon)	
	186	Office Action in co-pending Application No. 09/733,898 (Attorney Docket No. 05725.0808) dated August 28, 2002 (Ex. Yoon)	
	187	Office Action in co-pending Application No. 09/733,898 (Attorney Docket No. 05725.0808) dated December 23, 2003 (Ex. Yoon)	
/NN/	188	Office Action in co-pending Application No. 09/733,899 (Attorney Docket No. 05725.0594) dated April 7, 2004 (Ex. Lamm)	

Examiner	/Nathan Nutter/ (05/21/2007)	Date
Signature	/Nation Nutter (00/21/2007)	Considered

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### **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

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Application Number	09/733,896	13 8
Filing Date	December 12, 2000	Tan Marine
First Named Inventor	Carlos O. PINZON	
Art Unit	1711	
Examiner Name	Nathan M. Nutter	
Attorney Docket Number	05725.0806-00000	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation
/NN/	189	Office Action in co-pending Application No. 09/733,899 (Attorney Docket No. 05725.0594) dated April 9, 2003 (Ex. Lamm)	
	190	Office Action in co-pending Application No. 09/733,899 (Attorney Docket No. 05725.0594) dated May 3, 2005 (Ex. Venkat)	
	191	Office Action in co-pending Application No. 09/733,899 (Attorney Docket No. 05725.0594) dated September 22, 2004 (Ex. Venkat)	
	192	Office Action in co-pending Application No. 09/733,900 (Attorney Docket No. 05725.0595) dated April 7, 2004 (Ex. Lamm)	
	193	Office Action in co-pending Application No. 09/733,900 (Attorney Docket No. 05725.0595) dated December 1, 2004 (Ex. Venkat)	
	194	Office Action in co-pending Application No. 09/733,900 (Attorney Docket No. 05725.0595) dated July 16, 2003 (Ex. Lamm)	
	195	Office Action in co-pending Application No. 09/733,900 (Attorney Docket No. 05725.0595), dated June 2, 2005 (Ex. Venkat)	
	196	Office Action in co-pending Application No. 09/749,036 (Attorney Docket No. 05725.0832) dated April 29, 2005 (Ex. Venkat)	
	197	Office Action in co-pending Application No. 09/749,036 (Attorney Docket No. 05725.0832) dated August 13, 2003 (Ex. Howard)	
	198	Office Action in co-pending Application No. 09/749,036 (Attorney Docket No. 05725.0832) dated July 16, 2002 (Ex. Howard)	
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	200	Office Action in co-pending Application No. 09/899,909 (Attorney Docket No. 05725.0920) dated December 18, 2001 (Ex. Dodson)	
	201	Office Action in co-pending Application No. 09/937,314 (Attorney Docket No. 05725.0932) dated May 19, 2004 (Ex. Venkat)	
	202	Office Action in co-pending Application No. 09/971,028 (Attorney Docket No. 05725.0895) dated August 11, 2003 (Ex. Wang)	
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	204 .	Office Action in co-pending Application No. 10/012,029 (Attorney Docket No. 05725.1003) dated November 20, 2002 (Ex. Venkat)	
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	206	Office Action in co-pending Application No. 10/012,051 (Attorney Docket No. 05725.1004) dated January 14, 2003 (Ex. Venkat)	
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Examiner	(Nathan Nethan) (05/04/0007)	Date
Signature	/Nathan Nutter/ (05/21/2007)	Considered

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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	Complete if Know	MAY	3 0 2006	•
Application Number	09/733,896	-	18	•
Filing Date	December 12, 2000	13	\$1	•
First Named Inventor	Carlos O. PINZON	et a		•
Art Unit	1711	~	ADE	•
Examiner Name	Nathan M. Nutter			•
Attorney Docket Number	05725.0806-00000			•

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation
/NN/	208	Office Action in co-pending Application No. 10/012,051 (Attorney Docket No. 05725.1004) dated October 3, 2003 (Ex. Venkat)	
	209	Office Action in co-pending Application No. 10/012,052 (Attorney Docket No. 05725.1005) dated August 9, 2004 (Ex. Wells)	
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	213	Office Action in co-pending Application No. 10/046,568 (Attorney Docket No. 05725.1018) dated June 12, 2003 (Ex. Venkat)	
ļ	214	Office Action in co-pending Application No. 10/046,568 (Attorney Docket No. 05725.1018) dated November 5, 2002 (Ex. Venkat)	
	215	Office Action in co-pending Application No. 10/046,568 (Attorney Docket No. 05725.1018) dated September 22, 2004 (Ex. Venkat)	
	216	Office Action in co-pending Application No. 10/047,987 (Attorney Docket No. 05725.1020) dated December 11, 2003 (Ex. Venkat)	
	217	Office Action in co-pending Application No. 10/047,987 (Attorney Docket No. 05725.1020) dated September 7, 2004 (Ex. Venkat)	
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	219	Office Action in co-pending Application No. 10/182,830 (Attorney Docket No. 05725.0795-01) dated August 24, 2004 (Ex. Venkat)	
	220	Office Action in co-pending Application No. 10/198,931 (Attorney Docket No. 05725.0896) dated December 18, 2003 (Ex. Venkat)	<u>-</u> ::
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	224	Office Action in co-pending Application No. 10/203,375 (Attorney Docket No. 06028.0018-00), dated May 13, 2005 (Ex. Punnose)	
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Examiner	/Nathan Nutter/ (05/21/2007)	Date
	/Nathan Nutter/ (05/21/2007)	50.0
Signature	mainan makon (borz nzobr)	Considered

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number 09/733,896
Filing Date December 12, 2000
First Named Inventor Carlos O. PINZON et al.
Art Unit 1711

Examiner Name Nathan M. Nutter
Attorney Docket Number 05725.0806-00000

		NON PATENT LITERATURE DOCUMENTS			
Examiner Initials No.		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation		
		Office Action in co-pending Application No. 10/312,083 (Attorney Docket No. 05725.1187) dated October 1, 2004 (Ex. Venkat)			
	227	Office Action in co-pending Application No. 10/413,217 (Attorney Docket No. 05725.0895-01) dated September 9, 2004 (Ex. Venkat)			
	228	Office Action in co-pending Application No. 10/699,780 (Attorney Docket No. 05725.0895-02) dated September 22, 2004 (Ex. Venkat)			
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	234	Origins Full StoryTM Lush lash mascara product packaging, believed to have first been sold in 2003			
	235	U.S. District Court for the District of New Jersey Civil Docket for L'Oreal S.A. et al. v. Estee Lauder Companies, Inc., et al., Civ. No. 04-1660 (HAA) (filed April 7, 2004) (retrieved January 2, 2005)			
	236	Co-Pending Application No. 11/212,811, Attorney Docket No. 05725.0816-03 Title: A TRANSFER-FREE MASCARA COMPOSITION COMPRISING AT LEAST ONE VOLATILE SOLVENT AND AT LEAST ONE POLYMER U.S. Filing Date August 29, 2005			
	237	Co-Pending Application No. 11/312,338, Attorney Docket No. 05725.1538 Title: COMPOSITION AND PROCESS FOR COATING KERATIN FIBERS U.S. Filing Date December 21, 2005			
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/NN/	239	Co-Pending Application No. 11/406,371; Attorney Docket No. 06028.0130-00 Title: COSMETIC COMPOSITION COMPRISING SILICA PARTICLES, REFLECTING PARTICLES, AND AT LEAST ONE POLYMER, PREPARATIVE PROCESS, AND USES THEREOF U.S. Filing Date: April 19, 2006			
	240	English Language Abstract of FR 2 804 014 from esp@cenet NO DATES			
	241	English Language Abstract or FR 2 817 742 from esp@cenet			
	242	English language abstract of JP 02/207014 from Patent Abstracts of Jepan.			

Examiner Signature /Nathan Nutter/ (05/21/2007) Date Considered

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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Sheet 12 of

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	Complete if Known
Application Number	09/733,896 MAY 3 0 2006 W
Filing Date	December 12, 2000
First Named Inventor	Carlos O. PINZON (Pal.
Art Unit .	1711
Examiner Name	Nathan M. Nutter
Attorney Docket Number	05725.0806-00000

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	244	L'Oreal's Complaint for Patent Infringement, dated April 7, 2004, in the on-going litigation L'Oreal S.A., et al., v. The Estee Lauder Companies Inc., et al., Civil Action No. 04-1660 (D.N.J.)				
	245	Office Action in co-pending Application No. 09/733,897 (Attorney Docket No. 05725.0809-00) dated February 8, 2006 (Ex. Venkat)				
	246	Office Action in co-pending Application No. 09/733,898 (Attorney Docket No. 05725.0808-00) dated January 11, 2006 (Ex. Yoon)				
	247	Office Action in co-pending Application No. 09/749,036 (Attorney Docket No. 05725.0832) dated November 23, 2005 (Ex. Venkat)				
	248	Office Action in co-pending Application No. 10/129,377 (Attorney Docket No. 05725.0819-01) dated January 13, 2006 (Ex. Venkat)				
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	251	Office Action in co-pending Application No. 10/203,254 (Attorney Docket No. 05725.0817-01) dated December 5, 2005 (Ex. Dameron Jones)				
	252	Office Action in co-pending Application No. 10/312,083 (Attorney Docket No. 05725.1187) dated September 28, 2005 (Ex. Venkat)				
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	254	Office Action in co-pending Application No. 10/746,612 (Attorney Docket No. 05725.1338-01) dated February 17, 2006 (Ex. Venkat)				
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Examiner	/Nathan Nutter/ (05/21/2007)	Date	
Signature	/Nathan Nutter/ (03/21/2001)	Considered	



## SUPPLEMENTAL INFORMATION DISCLOSURE CITATION

Atty. Docket No	mans 25.0806-00	Application No.	09/733,896	
Applicant	Carlos O. Pinzon et al.			
Filing Date	December 12, 2000	Group:	1711	 •

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Examiner Initial*	Document Number	Issue Date	Name	Class	Sub	Filing Date If Appropriate
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	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
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$\overline{}$	EP 0 820 764 A1	28 Jan. 1998	Europe			Abstract
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mares	EP 0 925 780 A1	30 Jun. 1999	Europe			Abstract
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Atty. Docket No. 5725.0806-00

Applicant Carlos O. PINZON et also

Filing Date December 12, 2000

Application No. 09/733,896

Application No. 109/733,896

Application No. 109/733,896

Application No. 109/733,896

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Ţ.	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
<b>─</b>	FR 2 796 270	19 Jan. 2001	France			Abstract
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	/CA 1,319,306	15 Jun. 1988	Canada			English

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	SUPPLE	MENTAL INFORM	IATION DISCLOS	URE CITATIO	PE	
Atty. Docket	No. 5725.0806-00		Application No.	09/733,896	<u>/o`</u>	3
Applicant	Carlos PINZON, et al.				OCT 2 3 201	I DE
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WKS	International Search Report in	PCT/US 01/47499	(Atty. Docket No. 57)	25.0595-0304), (	dated Aug. 8, 200	)2
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Form PTO 1449

OMB No. 0651-0011

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

Atty. Docket No.: 05725.0806-00

Applicant: Carlos O. PINZON et al.

Filing Date: December 12, 2000

Group 1711

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	Co-Pending Application No. 09/618,032; Issued as U.S. Patent No. 6,402,408 on June 11, 2002, Attorney Docket No. 5725.0859-00 Title: COMPOSITION CONTAINING A LIQUID FATTY PHASE GELLED WITH A POLYAMIDE CONTAINING ESTER END GROUPS Inventor: Véronique FERRARI U.S. Filing Date: July 17, 2000
	Co-Pending Application No. 10/012,029; Attorney Docket No. 5725.1003-00-000 Title: COSMETIC COMPOSITIONS COMPRISING A POLYMER BLEND U.S. Filing Date: December 11, 2001
	Co-Pending Application No. 10/413,217, Attorney Docket No. 5725.0895-01000 Title: METHODS OF DISPERSING AT LEAST ONE COLORING AGENT USING AT LEAST ONE HETEROPOLYMER U.S. Filing Date: April 15, 2003
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OURR	Co-Pending Application No. 10/459,636, Attorney Docket No. 5725.1336-00-000 Title: COSMETIC EMULSIONS CONTAINING AT LEAST ONE HETERO POLYMER AND AT LEAST ONE SUNSCREEN AND METHODS OF USING THE SAME U.S. Filing Date: June 12, 2003
7,	Co-Pending Application No. 10/466, 166, Attorney Docket No. 5725.1228-00-000 Title: COSMETIC COMPOSITION COMPRISING A MIXTURE OF POLYMERS U.S. Filing Date: July 14, 2003
	Co-Pending Application No. 10/618,315, Attorney Docket No. 5725.1337-00-000 Title: COSMETIC COMPOSITIONS COMPRISING A STRUCTURING AGENT, SILICONE POWDER AND SWELLING AGENT U.S. Filing Date: July 11, 2003
	Co-Pending Application No. 10/746,612, Attorney Docket No. 5725.1338-01-000 Title: COSMETIC COMPOSITIONS COMPRISING A STRUCTURING AGENT, SILICONE POWDER AND SWELLING AGENT U.S. Filing Date: December 22, 2003
	Co-Pending Application No. 10/747,412, Attorney Docket No. 5725.1338-02-000 Title: COSMETIC EMULSIONS CONTAINING AT LEAST ONE HETERO POLYMER AND AT LEAST ONE SUNSCREEN AND METHODS OF USING THE SAME U.S. Filing Date: December 22, 2003
	Co-Pending Application No. 10/787,440, Attorney Docket No. 5725.0816-02-000 Title: COMPOSTITION COMPRISING AT LEAST ONE HETERO POLYMER AND AT LEAST ONE INERT FILLER AND METHODS FOR USE U.S. Filing Date: February 27, 2004
	Co-Pending Application No. 10/787,441, Attorney Docket No. 5725.0795-02-000 Title: COSMETIC COMPOSITIONS COMPRISING HETERO POLYMERS AND A SOLID SUBSTANCE AND METHOD OF USING SAME U.S. Filing Date: February 27, 2004
	English language DERWENT abstract of DE 42-98-287/VO DATE 5/21

Examiner U.K RAJEURU Date Considered: JUL. 13,2004

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Patent and Trademark Office - U.S. Department of Commerce

OIPE		OMB No. 0651-0011
MAN 1 8 2004 (S)	INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)	
Atty. Docket No.: 05725.0806-00	Application No.: 09/733,896	
Applicant: Carlos O. PINZON et al.		
Filing Date: December 12, 2000	Group 1711	,

	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Page	s, Etc.)
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Examiner	U.K RAJGURU	Date Considered: JUL. 13, 2004

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Atty. Docket No.: 05725.0806-00		Application No.: 09/733,896	
Applicant: Carlos O. PINZON et al.			
Filing Date: December 12, 2000		Gmun 1711	

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Applicant	Carlos O. PINZON et al.			, JUL 24 7003 B
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OMBNE-0651-0011

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Applicant	Carlos O. PINZON et al.			E. E.
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